

### **University Grants Commission** Sanothimi, Bhaktapur

## Call for Proposal on Establishment of University Reference Lab & University Incubation Center

First Date of Announcement: Falgun 11, 2080 (February 23, 2024) University Grants Commission (UGC) invites proposal for the establishment of University Reference Laboratories and University Incubation Centers. University Reference Laboratory aims to enhance research, education, and community engagement. The laboratory will provide advanced research facilities and support

services across diverse disciplines, ensuring accessibility to all university students and researchers within the country, and extending services to the general public in accordance with institutional regulations. Interested universities must comply with the basic pre-requisites outlined in "Establishment of the National Integrated Research Laboratories and Incubation Center at Nepali Universities: Guidelines for the

Selection of Proposals" and demonstrate prior experience in managing laboratorybased research activities, having successfully accomplished previous laboratory establishment support grants under HEP/HERP/NEHEP. It's essential to note that grants will exclusively support the purchase and establishment of required equipment, not for physical infrastructure development such as buildings or roads and other major physical supports. Proposals prepared by the Dean Offices of the universities must be forwarded to UGC by the Vice Chancellor office for consideration. University Incubation Center (UIC) aims to foster innovation, entrepreneurship and

collaboration among students, faculties, and the wider community. The UIC will serve as a hub for nurturing startups, providing resources, mentorship and support to transform innovative ideas into successful ventures. Please refer to "Establishment of the National Integrated Research Laboratories and Incubation Center at Nepali Universities: Guideline for the Selection of

Proposals" for details

Deadline for submission of proposal: Falgun 27, 2080 (March 10, 2024)

For further details please contact Research & Scholarship Division **University Grants Commission** 

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# ESTABLISHMENT OF THE NATIONAL INTEGRATED RESEARCH LABORATORIES AND INCUBATION CENTERS AT NEPALASE UNIVERSITIES: GUIDELINES FOR THE SELECTION OF PROPOSALS

February, 2024

#### **UNIVERSITY GRANTS COMMISSSOIN AT A GLANCE:**

The University Grants Commission (UGC) was established as an autonomous and statuary institution under the University Grants Commission Act (1993). It was established to promote quality higher education in every section of the society and to develop the culture of research in the country and thereby develop capacity of Nepali academia as per global standards. UGC Nepal being the apex body of the Nepalese national universities plans to establish the national laboratory support programs to boost the basic/applied/strategic/adoptive science-based researches for providing the access to the faculties and post-graduate research students of all national and province level universities inside the country as alike the Central Library of Tribhuvan University (TU) in general and Nepalese pupils in specific.

#### PRIORITY THEMATIC AREAS:

Establishment of advanced research laboratories in all fields that perform experimental tasks for new discoveries and advances in science and technology is important for the overall development of any nation. In Nepal, there is a real need to establish/ strengthen the National Science and Technology based research laboratories that are well-equipped not only for conducting excellent research, but also for minimizing the problems of massive brain-drain of the highly qualified scientists and innovative young minds. Therefore, the establishment of National Science and Technology based Laboratories as per the national priority as advocated by the Education planners and the Higher Education Institutions (HEIs) in Nepal is proposed with the agenda of empowering competent human resource-base and promotion of enterprise support program.

At present the national universities in Nepal are managing science-based laboratories only in individual departments with limited facilities and also not easily accessible to post-graduate students and innovative researchers. Another area where innovation and researches in Nepal are much needed for mitigating the effects of adverse climate change as advocated by CoP-28. Similarly, the concept of a Business Incubation Center to develop new entrepreneurs, to contribute to the economic and social development of the region, and to transfer technology through the preincubation of projects initiated in the universities/ higher education institutions (HEI) in Nepal is still lying dormant and needs to be revitalized.

Realizing the need for the development of laboratories/ incubation hubs focusing on the four important issues mentioned underneath, the UGC has decided to call for grant applications from universities/ HEIs in the country. The committee formed by the UGC has been delegated with the responsibility to draft guidelines for the selection of universities that meet the standards for the grants to be awarded. This report outlines the procedures to be followed towards this goal. Two separate guidelines for the: i. establishment and strengthening the national science and technology-based laboratories and ii. establishment of business incubation centers and startups have been prepared and drafted separately.

# 1. Nomenclature of the proposed research laboratories, objectives, estimated budget and HEI/s/ applicant's eligibility:

SN	Name of the	ame of the No. Tentative Budget Objectives		Basic Pre-	
	National	of	(NRs)	3	requisite to
	Research	labs	, ,		apply
	Laboratories				
1	Establishment of Labor		ratories		
i	Central/	1	40,00,00,000/-	To establish the Center of	
	Integrated and			Excellence in science and	strong research
	Extended			technology and for the easy	base of Masters
	Science and			access of the researchers	and
	Technology Lab			throughout the country as well as	PhD/DM/MCH
ii	Advanced		15,00.00,000/-	the general public as and when	academic
	Biomedical	1		necessary	programs
	Science				
	Research Lab				
	(for health				
	institution)				
iii	Business	5	A total of	To establish the University	HEIs with
	Incubation		10,00,00,000/-	"Learn and Earn Center" and to	interdisciplinary
	Centers and		(2,00,00,000/- each for	promote business incubation	under-graduate
	Innovative		the establishment of	models for the innovative	and post-
	Startups		maximum of 5	startups	graduate
			incubation centers based		program having
			on competition where it		internship
			was not granted earlier)		courses and
					sufficient
					platform to run the "Learn and
					Earn program"
	Sub-total		65,00,00,000/-		Lam program
2	Strengthening of	f existi			
i	Climate change	1	5,00.00,000/-	To strengthen the center of	HEIs with
_	and Glaciology	1	2,00.00,000	excellence in climate science	strong research
				and for the easy access of the	base of Masters
				researchers throughout the	& PhD
				country	academic
					programs
	Total		70,00,00,000/-		1 6
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### **Notice Guidelines:**

- The universities/ HEIs must comply with the basic pre-requisites as outlined in the Table above.
- The universities/ HEIs must have accomplished the previous laboratory establishment support grants under HEP/HARP/NEHEP and managing the laboratory-based research activity smoothly.

- The proposed research laboratory must be accessible to all university students and researchers inside the country and the proposed research laboratory services should be accessible to the general public as per the rules set by the institutions.
- The grants will support the purchase and establishment of the required and proposed equipment and not for the development of physical infrastructure such as buildings, roads and other major physical supports.
- The application prepared by the Dean Offices of the universities/ HEIs must be forwarded to UGC by the Vice Chancellor.

### 2. Standards proposed for evaluation of applications for the science and technology-based research laboratories

### **Submitted Grant Application should incorporate the following components:**

- i. Title: <20 words
- ii. Brief summary of scope of work: <200 words
- iii. Background/ Introduction:<500 words
- iv. Conceptual Framework: A comprehensive matrix about the proposed laboratory and its functioning
- v. Justification: <500 words
- vi. Objectives: <150 words
- vii. Description of Current Status: <2000 words with suitable tables and figures as per need
  - a. Physical infrastructure
  - b. Human resources
  - c. Supporting laboratory facilities
  - d. Supportive academic programs to run the proposed laboratory
  - e. Relevant research projects implemented by the HEIs
  - f. Achievements/milestones
  - g. Needs assessment analysis
  - h. Expected users of the project for the next 5 years
- viii. Implementation/Operational plan and Financial/Sustainability modality: <1200 words
  - a. Physical infrastructure
  - b. Human resources/ Composition of Team
    - i. Technicians
    - ii. Lab assistants
    - iii. Store keepers
    - iv. Administrators
  - c. Future collaboration with 3 tiers national governmental bodies and at international institutions
  - d. Expected Outcomes
  - e. Timeline and action plan
- ix. Proposed realistic budget (For equipment with specification, model, number and company)

- x. Letter of intent from the respective university Vice Chancellor
- xi. Details of authorized contact person for communication regarding the submitted application

### The submitted applications/proposals will be evaluated as per the criteria outlined in the table below.

SN	Content	Full	Marks	Remarks
		Marks	Obtained	
1	Background/Introduction	5.0		
2	Conceptual Framework	5.0		
3	Justification	5.0		
4	Objectives	5.0		
5	Description of current system with needs	15.0		
	assessment analysis			
6	Implementation/ Operational plan	15.0		
7	Financial/ Sustainability plan for the next 5	15.0		
	years			
8	Realistic Budget	5.0		
	Sub- total	80.0		
9	Presentation	20.0		
	Total	100.0		

Note: The applicant/ HEI should obtain at least 50% marks to qualify for presentation of the proposals. Marks <40% and above 80% should be justified with special reasons by the reviewer/s. Proposals will be reviewed by two separate reviewers selected by the committee and will be shortlisted and the authorized persons of the team will be asked to defend the proposals to the review committee. UGC Guidelines 2080 for grant application review and selection will be followed.

# 3. Standards proposed for the establishment of innovative business incubation centers and promotion of Startup Business

#### **Submitted Grant Application should incorporate the following components:**

- a. Background (brief introduction of the university including financially updated documents, number of students etc.)
- b. Research and development activities
- c. Needs assessment analysis for establishing the incubation centers
- d. Program, services and facilities related to entrepreneurship development
- e. Five years sustainability plan of incubation center that includes mission, vision, scope, core values, SLOC (strength, learning, opportunity, challenges) analysis, operational plan (time line), prospects revenue model, key partners, deliverables
- f. Expected outcomes such as proposed services offered at incubation centers and relations with industrial sectors
- g. Financial information (Capital cost, operational cost, HR, and Administrative cost, other general expenses)

- i. Realistic budget should include 10% for establishment of incubation center and 90% for start Ups (maximum up to 10 lakhs per start up)
- ii. Provision for return of the seed money to the host university/ HEI within 3 years
- iii. Co-funding commitment from the applicant institution up to 10% of total budget is required
- h. CV of the person coordinating the incubation center
- i. Letter of Intent from the Vice Chancellor of the university

Basis of evaluating the proposal for establishing the proposed five Business Incubation centers at Universities

SN	Indicators for the evaluation of proposals	Full	Marks	Remarks
		Marks	obtained	
1	Broad overview of the university (Infrastructure,	5		
	host, institutions' broad vision, mission, values,			
	number of disciplines and students, research and			
	developmental activities			
2	Needs assessment analysis	5		
3	Programs, services and facilities related to	15		
	entrepreneurship (past and proposed)			
4	Collaborations with different entrepreneurship	10		
	ecosystem actors			
5	Five years strategic plan	15		
6	Details Financial projection and project costs	15		
7	Pool of external experts, team, research capacity,	10		
	coaches and mentors related to incubation center	10		
8	Funding and program execution modality	5		
	Sub total	80		
9	Presentation	20		
	Grand total	100		

Note: The applicant/ university/ HEI should obtain at least 50% marks to qualify for presentation of the proposals. Marks <40% and above 80% should be justified with special reasons by the reviewer/s. Proposals will be reviewed by two separate reviewers selected by the committee and will be shortlisted and the authorized persons of the team will be asked to defend the proposals to the review committee. UGC Guidelines 2080 for grant application review and selection will be followed

### 4. Proposal evaluation team formation and result submission

This three-member selection committee will identify the suitable reviewers from the roster of experts for the designated subjects for evaluation. After the defense of the proposals, the selection committee will submit the report to the Chairman of UGC for final decision for approval of the grant to the selected universities/ HEIs. The selection team may also visit the particular institution to inspect the physical infrastructure and other logistics if needed before submitting their recommendations. The special laboratory support guidelines as embedded in the UGC Working Procedures (2080) will be followed for its complete accomplishments.